

PATENT
Docket No. 514012000400
Client Reference 760/11168.175

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: June 12, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Tamara Alcaraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Nahum SONENBERG et al.

Serial No.: 09/973,473

Filing Date: October 9, 2001

For: NON-HUMAN TRANSGENIC ANIMAL
WHOSE GERM CELLS AND SOMATIC
CELLS CONTAIN A KNOCKOUT
MUTATION IN DNA ENCODING 4E-
BP1

Examiner: To Be Assigned

Group Art Unit: 1614

COMMUNICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Communication accompanies the response to Notice to File Missing Parts of Nonprovisional Application. Please find enclosed authorization to charge Deposit Account No. 03-1925 the amount of \$1715, including extension fee. The Notice to File Missing Parts of Nonprovisional Application states the amount due as \$918, excluding the extension fee. We calculate the amount due as \$735, excluding the extension fee. The amount of \$735 was derived by taking into account the preliminary amendment submitted with the application filing on October 9, 2001, in which the number of claims was reduced.



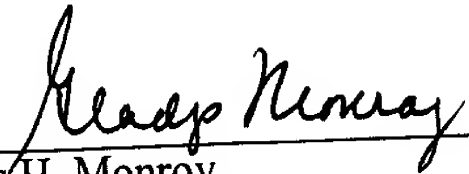
We note that our Fee Determination Record did not reflect the correct number of claims as filed.

Please take this Communication into consideration when processing the fees due.

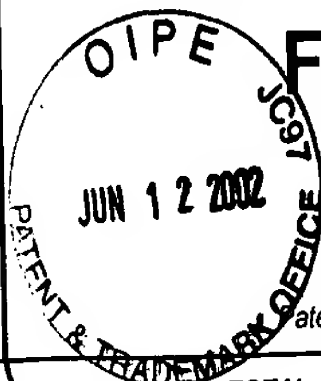
Respectfully submitted,

Dated: June 12, 2002

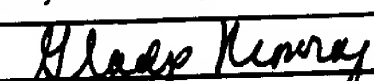
By:


Gladys H. Monroy
Registration No. (32,430)

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5711
Facsimile: (650) 494-0792

FEE TRANSMITTAL FOR FY 2002		Complete if Known	
		Application Number	09/973,473
 Patent fees are subject to annual revision.		Filing Date	October 9, 2001
		First Named Inventor	Nahum SONENBERG
		Examiner Name	To Be Assigned
		Group Art Unit	1614
TOTAL AMOUNT OF PAYMENT		(\$1715	Attorney Docket No. 514012000400

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																																	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		3. ADDITIONAL FEES <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>65</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td>980</td></tr><tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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** or number previously paid, if greater; For reissues, see above.																																																																																																																																																																																																			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Gladys H. Monroy	Registration No. (Attorney/Agent)	32,430
Signature		Telephone	(650) 813-5711
		Date	June 12, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



06-14-02
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MP

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Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/973,473	
	Filing Date	October 9, 2001	
	First Named Inventor	Nahum SONENBERG	
	Group Art Unit	1614	
	Examiner Name	To Be Assigned	
Total Number Of Pages In This Submission	12	Attorney Docket No.	514012000400

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form – in duplicate	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney 2 pages, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration (2 pages)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Statement under 37 CFR 3.73(b)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Communication (2 pages)
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	Return receipt postcard
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 – Copy of Notice attached		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	Gladys H. Monroy (32,430)
Date	June 12, 2002

CERTIFICATE OF MAILING BY "EXPRESS MAIL"	
Express Mail Label No.: EL569251483US	Date of Deposit: June 12, 2002
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Tamara Alcaraz	

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,473	10/09/2001	Nahum Sonenberg	514012000400

25226
MORRISON & FOERSTER LLP
755 PAGE MILL RD
PALO ALTO, CA 94304-1018

CONFIRMATION NO. 5331

FORMALITIES LETTER



OC000000007063028

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$483.
 - \$189 for 21 total claims over 20.
 - \$294 for 7 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 918.**

07/08/2002 MTEKLEMI 00000004 031952 09973473

01 FC:202 84.00 CH
02 FC:203 99.00 CH

*A copy of this notice **MUST** be returned with the reply.*

06/19/2002 MBERHE 00000013 031952 09973473

01 FC:201 370.00 CH
02 FC:205 65.00 CH
03 FC:203 90.00 CH
04 FC:202 210.00 CH

Beth T. Bustin
Customer Service Center
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